

JUN 3 0 2003

Atty. Docket No.

47171-00267USC1

Serial No.

09/541,170

List of Patents and Publications for Applicant's  
7<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT

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Applicant

Lars R. Stromme *et al.*

Filing Date:

April 3, 2000

Group: 3652

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
273	A95	US2001-0019624A1	09/01	Raterman <i>et al.</i>	382	135	
	A96	US2002-0001393A1	01/02	Jones <i>et al.</i>	382	100	
	A97	US2002-0020603A1	02/02	Jones <i>et al.</i>	194	346	
	A98	US2002-0056605A1	05/02	Mazur <i>et al.</i>	194	207	
	A99	US2002-0085245A1	07/02	Mennie <i>et al.</i>	358	498	
	A100	US2002-0085745A1	07/02	Jones <i>et al.</i>	382	135	
	A101	US2002-0103757A1	08/02	Jones <i>et al.</i>	705	45	
	A102	US2002-0104785A1	08/02	Klein <i>et al.</i>	209	534	
	A103	US2002-0107801A1	08/02	Jones <i>et al.</i>	705	45	
	A104	US2002-0118871A1	08/02	Jones <i>et al.</i>	382	137	
	A105	US2002-0122580A1	09/02	Jones <i>et al.</i>	382	137	RECEIVED JUL 07 2003
	A106	US2002-0126885A1	9/02	Mennie <i>et al.</i>	382	135	
	A107	US2002-0126886A1	09/02	Jones <i>et al.</i>	382	135	
	A108	US2002-0131630A1	09/19/02	Jones <i>et al.</i>	382	137	GROUP 36001
	A109	US2002-0136442A1	09/26/02	Jones <i>et al.</i>	382	135	
	A110	US2002-0145035A1	10/10/02	Jones	235	379	
	A111	US2002-0154804A1	10/24/02	Jones <i>et al.</i>	382	135	
	A112	US2002-0154805A1	10/24/02	Jones <i>et al.</i>	382	135	
	A113	US2002-0154806A1	10/24/02	Jones <i>et al.</i>	382	135	
	A114	US2002-0154807A1	10/24/02	Jones <i>et al.</i>	382	135	
	A115	US2002-0154808A1	10/24/02	Jones <i>et al.</i>	382	135	
	A116	US2003-0009420A1	01/09/03	Jones	705	39	
	A117	US2003-0015395A1	01/23/03	Hallowell <i>et al.</i>	194	206	
	A118	US2003-0015396A1	01/23/03	Mennie	194	206	
	A119	US2003-0062242A1	04/03/03	Hallowell <i>et al.</i>	194	302	
	A120	DES 369,984	05/96	Larsen	D10	97	
273	A121	3,246,295	04/66	DeClaris <i>et al.</i>	382	56	

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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2/3	A122	3,280,974	10/66	Riddle et al.	209	111.8	
	A123	3,480,785	11/69	Aufderheide	250	219	
	A124	3,496,370	02/70	Haville et al.	250	219	
	A125	3,509,535	04/70	Berube	340	149	
	A126	3,612,835	10/71	Andrews et al.	235	61.11D	
	A127	3,679,314	07/72	Mustert	356	71	
	A128	3,778,628	12/73	Novak et al.	250	556	
	A129	3,870,629	03/75	Carter et al.	209	111.8	
	A130	3,906,449	09/75	Marchak	340	146.3R	
	A131	3,976,198	08/76	Carnes Jr. et al.	209	111.7T	
	A132	4,041,456	08/77	Ott et al.	340	146.3R	
	A133	4,096,991	06/78	Iuchi	235	419	
	A134	4,114,804	09/78	Jones et al.	235	476	
	A135	4,147,430	04/79	Gorgone et al.	356	51	
	A136	4,179,685	12/87	O'Maley	340	146.3	
	A137	4,255,651	03/81	Phillips	235	92	
	A138	4,275,874	06/81	DiBlasio	271	187	
	A139	4,283,708	08/91	Lee	340	146.3	RECEIVED
	A140	4,288,781	09/81	Sellner et al.	340	146.3	JUL 07 2003
	A141	4,302,781	11/91	Ikeda et al.	358	486	GROUP 3600
	A142	4,311,914	01/82	Huber	250	556	
	A143	4,334,619	06/82	Horino et al.	209	551	
	A144	4,348,656	09/82	Gorgone et al.	340	146.3R	
	A145	4,349,111	09/82	Shah et al.	209	534	
	A146	4,355,300	10/82	Weber	340	146.3C	
	A147	4,356,473	10/82	Freudenthal	340	146.3H	
	A148	4,381,447	04/83	Horvath et al.	250	223	
2/3	A149	4,386,432	05/83	Nakamura et al.	382	7	

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Form PTO-1449 (modified)

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<i>ms</i>	A150	4,442,541	04/84	Finkel et al.	382	7	
	A151	4,461,028	07/84	Okubo	382	15	
	A152	4,464,786	09/84	Nishito et al.	382	15	
	A153	4,464,787	08/84	Fish et al.	382	7	
	A154	4,487,306	12/84	Nao et al.	382	135	
	A155	4,490,846	12/84	Ishida et al.	382	7	
	A156	4,513,439	04/85	Gorgone et al.	382	7	
	A157	4,532,641	07/85	Nishimura	377	14	
	A158	4,539,702	09/85	Oka	382	7	
	A159	4,542,829	09/85	Emery	209	534	
	A160	4,547,896	10/85	Ohtombe et al.	382	318	
	A161	4,556,140	05/86	Okada	194	4	
	A162	4,559,452	12/85	Igaki et al	250	560	
	A163	4,563,771	01/86	Gorgone et al.	382	7	
	A164	4,567,370	01/86	Falls	250	461.1	
	A165	4,587,412	05/86	Apisdorf	235	449	
	A166	4,587,434	05/86	Roes et al.	250	556	
	A167	4,592,090	05/86	Curl et al.	382	7	
	A168	4,593,184	06/86	Bryce et al.	235	449	<i>RECEIVED</i>
	A169	4,611,345	09/86	Ohnishi et al.	382	7	<i>JUL 07 2003</i>
	A170	4,628,194	12/86	Dobbins et al.	235	379	
	A171	4,645,936	02/87	Gorgone	250	556	<i>GROUP 3600</i>
	A172	4,653,647	03/87	Hashimoto	209	534	
	A173	4,677,682	06/87	Miyaqawa et al.	382	7	
	A174	4,681,229	07/87	Uesaka et al.	209	534	
	A175	4,694,963	09/87	Takesako	209	534	
	A176	4,697,071	09/87	Hiroaka et al.	235	379	
<i>ms</i>	A177	4,700,368	10/87	Munn et al.	377	8	

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<i>m/s</i>	A178	4,733,308	03/88	Nakamura <i>et al.</i>	358	496	
	A179	4,747,492	05/88	Saito <i>et al.</i>	209	534	
	A180	4,823,393	04/89	Kawakami	382	7	
	A181	4,827,531	05/89	Milford	382	7	
	A182	4,881,268	11/89	Uchida <i>et al.</i>	382	7	
	A183	4,973,851	11/90	Lee	250	556	
	A184	4,992,109	05/90	Bercovitz <i>et al.</i>	271	556	
	A185	4,992,860	02/91	Hamauchi <i>et al.</i>	358	75	
	A186	4,996,604	02/91	Ogawa <i>et al.</i>	358	486	
	A187	5,047,871	09/91	Meyer <i>et al.</i>	358	486	
	A188	5,068,519	11/91	Bryce	235	449	
	A189	5,076,441	12/31/91	Gerlier	209	534	
	A190	5,163,672	11/92	Mennie	271	187	
	A191	5,201,395	04/93	Takizawa <i>et al.</i>	194	206	
	A192	5,207,788	05/93	Geib <i>et al.</i>	271	122	
	A193	5,236,072	08/93	Cargill	194	207	
	A194	5,295,196	03/94	Raterman <i>et al.</i>	382	7	
	A195	5,430,664	07/95	Cargill <i>et al.</i>	364	550	
	A196	5,467,405	11/95	Raterman <i>et al.</i>	382	135	
	A197	5,467,406	11/95	Graves <i>et al.</i>	382	135	
	A198	5,577,589	11/26/96	Garcia Tinoco	194	204	<i>RECEIVED JUL 07 2003</i>
	A199	5,633,949	05/97	Graves <i>et al.</i>	382	135	<i>GROUP 300</i>
	A200	5,640,463	06/97	Csulits	382	135	
	A201	5,652,802	07/97	Graves <i>et al.</i>	382	135	
	A202	5,680,472	10/21/97	Conant	382	135	
	A203	5,687,963	11/97	Mennie	271	119	
	A204	5,692,067	4/97	Raterman <i>et al.</i>	382	135	
	A205	5,704,491	1/98	Graves	209	534	
<i>m/s</i>	A206	5,724,438	3/98	Graves	382	135	

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<i>243</i>	A207	5,751,840	5/98	Raterman et al.	382	135	
	A208	5,790,693	8/98	Graves, et al.	382	135	
	A209	5,790,697	8/98	<i>Munro</i> Jones et al.	382	135	
	A210	5,806,650	9/98	Mennie et al.	194	206	
	A211	5,815,592	9/98	Mennie et al.	382	135	
	A212	5,822,448	10/98	Graves et al.	382	135	
	A213	5,832,104	11/98	Graves et al.	382	135	
	A214	5,867,589	2/99	Graves et al.	382	135	
	A215	5,870,487	2/99	Graves et al.	382	135	
	A216	5,875,259	2/99	Mennie et al.	382	135	
	A217	5,905,810	5/99	Jones et al.	382	135	
	A218	5,909,502	06/01/99	Mazur	382	135	
	A219	5,909,503	06/01/99	Graves et al.	382	135	
	A220	5,938,044	08/17/99	Weggesser	209	534	
	A221	5,940,623	08/17/99	Watts et al.	395	712	
	A222	5,943,655	08/24/99	Jacobsen	705	30	
	A223	5,960,103	09/28/99	Graves et al.	382	135	
	A224	5,966,456	10/12/99	Jones et al.	382		<i>RECEIVED</i>
	A225	5,982,918	11/09/99	Mennie et al.	382	135	
	A226	6,012,564	01/11/00	Mukai	194	206	<i>JUL 07 2003</i>
	A227	6,026,175	02/15/00	Raterman et al.	382		<i>GROUP 3600</i>
	A228	6,028,951	02/00	Raterman et al.	382	135	
	A229	6,039,645	03/21/00	Mazur	453	10	
	A230	6,068,194	05/00	Mazur	235	492	
	A231	6,072,896	06/00	Graves et al.	382	135	
	A232	6,074,334	06/00	Mennie et al.	493	438	
	A233	6,234,294	05/22/01	Defeo et al.	194	207	
	A234	6,398,000	06/02	Jenrick et al	194	200	
	A235	6,459,806	10/01/02	Raterman et al.	382	135	
<i>243</i>	A236	6,460,705	10/08/02	Hallowell	209	534	

EXAMINER: *Mark A. R. L.*

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✓	A237	6,493,461	12/10/02	Mennie et al.	382	135	
✓	A238	6,539,104	03/25/03	Raterman et al.	382	135	
✓	A239	6,560,355	05/06/03	Graves et al.	382	135	

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
✓	I22	DE 2659929C3	11/77	Germany	G07D	7/00	
✓	I23	DE 2935668 C2	09/79	Germany	G07D	7/00	Yes
✓	I24	EP 0077464A2	09/82	EPO	G03H	1/08	Yes
✓	I25	EP 0130824 A2	06/84	Europe	B65H	7/14	N/A
✓	I26	EP 0130825 A2	06/84	Europe	B65H	7/14	N/A
✓	I27	EP 0132329 A2	06/84	Europe	B65H	3/06	N/A
✓	I28	EP 0206675 B1	06/86	Europe	G07D	7/00	N/A
✓	I29	EP 0253935 A2	10/86	Europe	G07K	7/10	N/A
✓	I30	EP 0264125-A1	10/87	Europe	G07D	7/00	N/A
✓	I31	EP 0342647A2	05/89	EPO	G07D	7/00	
✓	I32	EP 0613107 A1	08/94	Europe	G07F	19/00	RECEIVED
✓	I33	EPA 0338123	10/89	European	G07D	7/00	10/06 2003
✓	I34	EPA0077464	04/83	European	G03H	1/08	JUL 07 2003
✓	I35	EPA0342647	11/89	European			GROUP 3600
✓	I36	GB 2,038,063 A	07/80	Great Britain	G07D	7/00	N/A
✓	I37	GB 2,061,232 A	05/81	Great Britain	B65H	7/06	N/A
✓	I38	GB 2,119,138 A	11/83	Great Britain	G06M	3/02	N/A
✓	I39	JP 2-12492	02/90	Japan	G07D	7/00	Yes (see J178)
✓	I40	JP 2-22786	01/90	Japan	G07D	3/00	Abstract Only
✓	I41	JP 5-11355	07/93	Japan	G06M	8/02	Abstract Only
✓	I42	JP 54-60999	06/79	Japan	G07D	7/00	Yes (see J179)
✓	I43	JP 54-71673	6/8/79	Japan	G06M	7/06	Yes (see J180)
✓	I44	JP 54-71674	6/8/79	Japan	G06M	7/06	Yes (see J181)
✓	I45	JP 55-52538	12/80	Japan	G07D	7/00	Yes (see J182)

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<i>nyB</i>	I46	JP 56-136689	10/26/81	Japan	<i>B07C</i>	<i>3/08</i>	Yes (see J141 and 169)
<i>nyB</i>	I47	JP 56-16287	02/81	Japan	<i>G07D</i>	<i>9/00</i>	Abstract Only
<i>nyB</i>	I48	JP 58-139296	08/83	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I49	JP 59-186079	10/84	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I50	JP 59-231692	12/84	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I51	JP 60-191379	09/85	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I52	JP 60-215293	11/28/85	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I53	JP 61-14557	4/19/86	Japan	<i>G06M</i>	<i>7/06</i>	Yes (see J141 and J166)
<i>nyB</i>	I54	JP 61-41439	9/16/86	Japan	<i>G06M</i>	<i>7/06</i>	Yes (see J184)
<i>nyB</i>	I55	JP 61-82290	04/25/86	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I56	JP 62-220843	09/87	Japan	<i>G01N</i>	<i>21/89</i>	Abstract Only
<i>nyB</i>	I57	JP 63-271687	11/88	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I58	JP 63-276688	11/88	Japan	<i>G07D</i>	<i>7/00</i>	Yes (see J186)
<i>nyB</i>	I59	JP 63-91794	04/88	Japan	<i>G06M</i>	<i>9/00</i>	Abstract Only
<i>nyB</i>	I60	JP 64-064090	03/89	Japan	<i>G07D</i>	<i>7/00</i>	Abstract Only
<i>nyB</i>	I61	WO 81/02111	04/81	PCT	<i>G06K</i>	<i>9/68</i>	N/A
<i>nyB</i>	I62	WO 91/11778	08/91	International (PCT)	<i>G06K</i>	<i>9/00</i>	N/A
<i>nyB</i>	I63	WO 92/17394	10/92	International (PCT)	<i>B65H</i>	<i>3/06</i>	N/A
<i>nyB</i>	I64	WO 93/23824	11/93	International (PCT)	<i>G06K</i>	<i>9/00</i>	N/A
<i>nyB</i>	I65	WO 95/24691	09/95	International (PCT)	<i>G06K</i>	<i>9/00</i>	N/A
<i>nyB</i>	I66	WO 96/10800	04/96	International (PCT)	<i>G06K</i>	<i>9/00</i>	N/A
<i>nyB</i>	I67	WO 97/30422	02/97	International (PCT)	<i>G07D</i>	<i>7/00</i>	<i>RECD N/A</i>

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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GROUP 3600

Exam. Init.	Ref. Des.	Citation
	I118	AFB Currency Recognition System (1982)
	I119	Banking Machine Digest No. 31 (last page of J138 translation has a date of 12/5/88) (Japanese)
	I120	Billcon D-202, D204 Operator's Manual (cover marked 611215) (Japanese) (see J129, J168 and J171 below) (undated)
<i>nyB</i>	J121	Billcon D-202/204 Service Manual (cover marked 630229) (Japanese) (see J174 below) (undated)
<i>nyB</i>	J122	Billcon: 38 <sup>th</sup> Banking Uniform Show (1 page) and translation (2 pages) (1998)

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<i>WS</i>	J123	Billcon: D212 – Odd Bill Detecting Bill Counter - Instruction Manual, 17 pages, Japanese and - translation (page 17 dated 1994) -
<i>WS</i>	J124	Billcon: D212 Note Counter, 4 pages – Japanese and Translation (undated)
<i>WS</i>	J125	Brochure "DeLa Rue Systems, The processing of money and documents;" date: copyr. 1987 (See e.g. 3120 Currency Sorting Machine, p.3)
	J126	Brochure of Mosler Model CS 6600 Optical Currency Counter/Sorter, 4 pages, copyr. 1992
	J127	Chp. 7 of Mosler CF-420 Cash Management System, Operator's Manual© 1989
	J128	Currency System Intl'l, Mr. W. Kranister in Conversation With Richard Haycock; pages 1-5; dated: estimated 1994
	J129	Currency Systems International, CPS 1200; 4 pages; date: copyr. 1992
	J130	Currency Systems International, Medium Speed Currency Sorting Family, CPS 600 and CPS 900; 4 pages; date: copyr. 1994
<i>WS</i>	J131	Currency Systems International/Currency Processing Systems, CPS 300; 4 pages; date: copyr. 1992
<i>WS</i>	J132	De La Rue Systems: 2300 – Operating Instruction Manual, 3 pages (© 1986)
<i>WS</i>	J133	De La Rue Systems: 2700VB brochure, 1 page (12/9/96)
<i>WS</i>	J134	De La Rue: 2700 Currency Counting Machine – User Guide, Revision 1, 53 pages (8/26/99)
<i>WS</i>	J135	Description of Currency Systems International's CPS 600 and CPS 900 devices; date: estimated 1994 -
	J136	Description of Toshiba-Mosler CF-420 Device; estimated 1989
	J137	Drawings of portions of Mosler CF-420 Cash Management System (FIGs. A-C) and description of the same (1989)
	J138	First Translation of Banking Machine Digest No. 31 (J119); last page dated 12/5/88)
	J139	First Translation of Billcon D-202, D204 Operator's Manual (J120); undated
	J140	First Translation of JP 56-136689 (I46); undated
	J141	First Translation of JP 61-14557 (I53); undated
	J142	G&D: CHP 50 User's Guide, 61 pages (3/98)
	J143	Glory GFB-200/210/220/230, Desk-Top Bank Note Counter; 2 pages; date: estimated before 8/9/94
	J144	Glory GFR-X Banknote Counter With Denomination Recognition; 3 pages; date: estimated 1/14/94
	J145	Glory GSA-500 Sortmaster brochure; 2 pages; date: 1/14/94
	J146	Glory Instruction Manual for GFR-100 Currency Reader Counter (8/15/95)
<i>WS</i>	J147	Glory UF-1D brochure; 2 pages; date: estimated before 8/9/94
<i>WS</i>	J148	Glory: GFB 700 Bank Note Counting Machine - Operating Instructions, 32 pages (9/98)

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Filing Date: April 3, 2000	Group: 3652

<i>WS</i>	J149	Glory: GSA-500 – Instruction Manual, 40 pages (prior to 7/98)
<i>WS</i>	J150	Glory: GSA-500 – Operating Procedures, 9 pages (prior to 7/98)
<i>WS</i>	J151	GSA-500 Sortmaster brochure; 4 pages; date: estimated 1/14/94
<i>WS</i>	J152	Japanese Reference X and Statement of Relevance – 11 pages (undated)
	J153	JCM: BC-30 Bill Counter, 2 pages (date unknown, prior to 8/02)
<i>WS</i>	J154	JCM: DBC-3 U.S. Dollar Bank Note Checker, 2 pages (date unknown, prior to 8/02)
<i>WS</i>	J155	JetScan Currency Scanner/Counter, Model 4060, Operator's Manual by Cummins-Allison (8/91)
<i>WS</i>	J156	JetScan Currency Scanner/Counter, Model 4061, Operating Instructions by Cummins-Allison (4/20/93)
	J157	JP "Banking Machine Digest, No. 31, 1989 – Digest and Translation
<i>WS</i>	J158	Mosler Inc. brochure "The Mosler/Toshiba CF-420", 1989
<i>WS</i>	J159	Mosler/Toshiba: Model CS-6600 Currency Handler brochure – "Let Mosler Help You Cut Costs Four Ways," 4 pages (© 1993)
<i>WS</i>	J160	Mosler-Toshiba Currency Sorter CF-400 Series; 4 pages; date: copr. 1983
<i>WS</i>	J161	Musashi Co.: New Generation-tellac-5 Series Compact Currency Counter, 2 pages (1988)
<i>WS</i>	J162	Musashi Co.: Operation Manual for Maintenance and Learning Modes for Tellac-5, 5DD, SD, DDA, A & SSD, 13 pages (prior to 08/02)
<i>WS</i>	J163	Operation Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon, Co., Ltd. – Translation (undated)
	J164	Sale of JetScan Currency Scanner/Counter, Model 4060 (8/91)
	J165	Sale of JetScan Currency Scanner/Counter, Model 4061 (4/20/93)
	J166	Second Translation of 61-14557 (I53) (Glory) (undated)
	J167	Second Translation of Banking Machine Digest No. 31 (J119) (Glory)
	J168	Second Translation of Billcon D-202, D204 Operator's Manual (J120) (Glory) (undated)
	J169	Second Translation of JP 56-136689 (I46) (Glory) (undated)
	J170	Service Manual of the D-202, D-204 Mixed Paper Currency Counter of Billcon Co., Ltd. – Translation (1989)
<i>WS</i>	J171	Third Translation of Banking Machine Digest No. 31 (J119) (undated)
<i>WS</i>	J172	Toshiba: CF-400 Series Fitness Sorter, 6 pages (estimated 1989 or earlier)
<i>WS</i>	J173	Toshiba-Mosler Operator's Manual for CF-420 Cash Settlement System; pps 1 to C-3; copr. 1989 (See eg. pp. 3-10; 4-10; and 5-7)
<i>WS</i>	J174	Translation of Billcon D-202/204 Service Manual - (J121) (undated)

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✓ J175	Translation of DE 2659929 (10/23/01)
✓ J176	Translation of EP 0077464 A2 (undated)
✓ J177	Translation of EP 0342647 A2 (undated)
✓ J178	Translation of JP 2-12492 (undated)
✓ J179	Translation of JP 54-60999 (undated)
✓ J180	Translation of JP 54-71673 (undated)
✓ J181	Translation of JP 54-71674 (undated)
✓ J182	Translation of JP 55-52538 (undated)
✓ J183	Translation of JP 60-215293 (undated)
✓ J184	Translation of JP 61-41439 (undated)
✓ J185	Translation of JP 62-220843 (undated)
✓ J186	Translation of JP 63-276688 (undated)

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